DT07 Rec'd PCT/rTO 0 7 JUL 2004 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (Modified) REV: 11-2000) Y31-170800C/KK TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR DESIGNATED/ELÉCTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/JP02/03691 March 26, 2003 March 27, 2002 and May 14, 2002 TITLE OF INVENTION POSITIVE ACTIVE MATERIALS AND NON-AQUEOUS ELECTROLYTE BATTERIES EMPLOYING THE SAME APPLICANT(S) FOR DO/EO/US 1) Ryuji SHIOZAKI 2) Akihiro FUJII 3) Tokuo INAMASU 4) Hiroe NAKAGAWA 5) Suguru KOZONO Assignee Name: Yuasa Corporation Assignee Residence: Takatsuki-shi, Osaka, Japan 6) Toshivuki NUKUDA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5). 3. (6), (9) and (24) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). \boxtimes 4. A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. 🛛 is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (90 pages) is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. 🖂 have been communicated by the International Bureau. b. 🗆 have not been made: however, the time limit for making such amendments has NOT expired. c. 🗆 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. П 9. \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. \boxtimes A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (16) 13. \boxtimes An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. \boxtimes 14. A FIRST preliminary amendment. \times 15. A SECOND or SUBSEQUENT preliminary amendment. 16. \Box_{\bullet} 17. A substitute specification. A change of power of attorney and/or address letter. 18. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 19. A second copy of the published international application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 21. 22. Express Mail Label No. \boxtimes 23. Other items or information: Form PCT/IB/301 Form PCT/ISA/210

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Form PCT/IB/304

Form PCT/IB/308 Form PCT/RO/101 Cover page of the International Publication (WO 03/081698)

4 Sheets of Formal Drawings (Figs. 1-4)

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BASIC NATIO	NAL FEE (37 CFR 1.492	(a) (1) - (5)):				Γ			
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International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO										
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)										
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)							, L			
ENTER APPROPRIATE BASIC FEE AMOUNT =								\$920.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than an one of the earliest claimed priority date (37 CFR 1.492 (e)).						□ 30		\$0.00		
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TOTAL OF ABOVE CALCULATIONS =								3920.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.								\$0.00		
SUBTOTAL =							=	\$920.00		
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).							-	\$0.00		
			TOTAL NATI	IONAI	_ FI	EE =	=	\$920.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).							3	\$40.00		
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	c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0481									
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NOTE: Where 1.137(a) or (b))	e an appropriate time limi must be filed and grante	t under 3° d to restor	7 CFR 1.494 or 1.495 the application to po	has not be	een atus	met, a pet	titio	n to revive (37 CFF	2	
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